



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Holt, et al.

Confirmation No.: 5436

Group Art Unit: 2642

Serial No.: 08/876,839

Examiner: Tieu, Benny Quoc

Filed: June 16, 1997

Docket No.: 190251-1270

For: **Method and Apparatus for Routing Calls Based on Identification of the Calling Party or Calling Line**

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement Under 37 C.F.R. 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of \$

Enclosed is Credit Card Payment Form (PTO-2038) in the amount of \$

Please charge \$ to deposit account . At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies (where required) of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.
- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and

other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

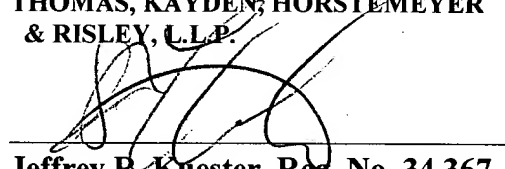
This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

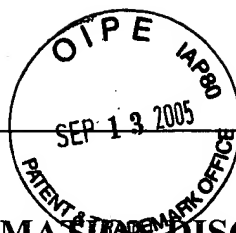
**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

By:


Jeffrey R. Kuester, Reg. No. 34,367

100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339-5948
770-933-9500

Form PTO-1449

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*Attorney Docket No.
190251-1270Serial No.
08/876,839Applicant
Holt, et al.Filing Date
June 16, 1997Group
2642**U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	2,957,047	10/1960	Wennemer	379	211	12/5/58
	B	4,277,649	7/1981	Sheinbein	379	211	1/18/80
	C	4,313,035	1/1982	Jordan et al.	379	61	1/18/80
	D	4,371,752	2/1983	Matthews et al.	379	89	11/826/79
	E	4,518,824	5/1985	Mondardini	379	144.04	07/07/82
	F	4,625,081	11/1986	Lotito et al.	379	211	11/30/82
	G	4,674,115	6/1987	Kaleita et al.	379	201	9/20/85
	H	4,680,785	7/1987	Akiyama et al	379	142	10/3/84
	I	4,740,788	4/1988	Konneker	379	211	10/6/86
	J	4,752,951	6/1988	Konneker	379	211	12/23/85
	K	4,759,056	7/1988	Akiyama	379	142	11/9/84
	L	4,769,834	9/1988	Billinger et al.	379	112	1/30/87
	M	4,791,665	12/1988	Bogart et al.	379	207	1/30/87
	N	4,893,335	1/1990	Fuller	379	212	3/20/86
	O	4,899,373	2/1990	Lee et al	379	207	11/28/86
	P	5,029,196	7/1991	Morganstein	379	214	7/11/88
	Q	5,195,131	3/1993	Sano	379	211	2/4/91
	R	5,197,096	3/1993	Sakuma et al.	379	211	6/19/90
	S	5,329,578	7/1994	Brennan et al.	379	67	5/26/92

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	T	0 420 527 A2	9/1990	European Pat. Off.	3	50	Y	
	U	0 451 695	4/1991	European Pat. Off	3	42	X	
	V	3315884	5/1983	Germany	3	20		X
	W	1-149650	6/1989	Japan	379	210		N

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, etc.)*

	X	
--	---	--

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

Form PTO-1449 <h2 style="text-align: center;">INFORMATION DISCLOSURE CITATION</h2> <p style="text-align: center;"><i>(Use several sheets if necessary)</i></p>	Attorney Docket No. 190251-1270	Serial No. 08/876,839
Applicant Holt, et al.		
Filing Date June 16, 1997		Group 2642

U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA						
	BB						
	CC						
	DD						
	EE						
	FF						
	GG						
	HH						
	II						
	JJ						
	KK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	LL	PCT/GB90/01328	8/1990	WIPO	3	50	Y	
	MM	PCT/US90/06729	11/1990	WIPO	1	64	Y	
	NN	PCT/US91/00483	1/1991	WIPO	3	42	Y	
	OO	PCT/FI92/00036	2/1992	WIPO	3	50	Y	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	PP	
	QQ	
	RR	

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:	DATE CONSIDERED:
-----------------------	------------------